

F.No. G24011/1/2016-UPA (FTS 15086)  
Government of India  
Ministry of Housing & Urban Poverty Alleviation  
(UPA Division)

Nirman Bhavan  
New Delhi 110011  
Dated 2nd June, 2017

To  
Principal Secretary/Secretary of  
States/UTs (in charge of DAY – NULM)

Subject: **State/UT-wise availability of Central funds under Deendayal Antyodaya Yojana – National Urban Livelihoods Mission (DAY-NULM) for the F.Y. 2017-18 – regarding.**

Sir/Madam,

I am directed to refer to the subject mentioned above and to say that on the basis of budgetary allocation made for Deendayal Antyodaya Yojana – National Urban Livelihoods Mission (DAY-NULM) for the F.Y. 2017-18, the State/UT-wise allocation for the F.Y. 2017-18 as approved by the Competent Authority is enclosed as Annexure I.

2. The Central funds during 2017-18 will be released as per the following norms:
  - (i) First instalment will be released *after* deducting the unspent balance of Central funds as on 1.4.2017, subject to the submission of the following documents:
    - (a) Final Utilisation Certificates (FUCs) along with Annual Audited Statements for the Central funds released till 2015-16;
    - (b) Provisional Utilisation Certificate (PUC) for the funds released during 2016-17;
    - (c) Release order of 100% matching State Share, against the Central funds released up to 2016-17
  - (ii) The remaining funds will be released subject to the following conditions:
    - (a) Satisfactory physical and financial progress, as per the Monthly Progress Reports and MIS reports submitted by the State/UT;
    - (b) Submission of release order of 100% matching State Share against the Central funds released till then;
    - (c) Submission of Final Utilisation Certificate along with Annual Audited Statement for the Central funds released up to 2016-17
3. The State/UT-wise allocation of funds may be reviewed and revised in case of non-submission of required documents (provisional UCs, Annual Audited Statement along with final UCs, etc) or in the case of non-utilisation of funds.

4. The balance funds, out of the budgetary allocation for the F.Y. 2017-18 may be released as Additional Central Assistance (ACA) to the better-performing States/UTs, in order to ensure proper utilisation of budgeted funds under NULM.
5. States/UTs may utilise 25% of their allocation under DAY-NULM as Flexi-funds, as per the "Guidelines for Flexi-funds within the Centrally-sponsored Schemes", issued by the Dept of Expenditure OM No. 55(5)/PF-II/2011 dated 6th September, 2016 (copy enclosed).
6. All States/UTs who have not furnished the documents required for release of first instalment of funds are requested to forward the same at the earliest.

Yours faithfully,

*A. Mittal*

(Archana Mittal)  
Director (UPA)-I  
Tel.: 23062127

Copy to: Mission Directors of all States/UTs

Copy also to:

- 1 PPS to Secretary (HUPA)
- 2 PS to JS (UPA)
- 3 PS to JS & FA
- 4 CCA, M/o HUPA
- 5 Dir (UPA) / DS (UPA)
- 6 Dir (Budget), M/o HUPA
- 7 Dir (Coordn)
- 8 Technical Director, NIC, with the request for uploading the same on the website of the DAY-NULM

*A. Mittal*

(Archana Mittal)  
Director (UPA)

Annexure to Letter No. G.24011/1/2016-UPA (FTS 15086)

State/U.T.-wise allocation under DAY-NULM (including SCSP and TSP) during the F.Y. 2017-18

Sl. No.	Name of the States / U.Ts	General	SCSP	TSP	Total
	<b>MH 3601 (Non NR States)</b>				
1	Andhra Pradesh	1867.73	644.49	65.74	2577.96
2	Bihar	3853.44	1329.69	135.63	5318.76
3	Chhattisgarh	1490.52	514.33	52.46	2057.31
4	Goa	93.74	32.35	3.30	129.39
5	Gujarat	3386.42	1168.54	119.19	4674.15
6	Haryana	1305.03	450.33	45.93	1801.29
7	Himachal P	841.64	290.43	29.62	1161.69
8	J & K	330.61	114.08	11.64	456.33
9	Jharkhand	898.24	309.95	31.62	1239.81
10	Karnataka	3013.81	1039.96	106.08	4159.85
11	Kerala	1042.30	359.66	36.69	1438.65
12	Madhya Pradesh	2760.43	952.53	97.16	3810.12
13	Maharashtra	4752.70	1639.99	167.28	6559.97
14	Odisha	1761.86	607.95	62.02	2431.83
15	Punjab	945.64	326.31	33.28	1305.23
16	Rajasthan	2118.94	731.17	74.58	2924.69
17	Tamil Nadu	6205.86	2141.42	218.43	8565.71
18	Telangana	1467.71	506.45	51.66	2025.82
19	Uttar Pradesh	4999.13	1725.03	175.95	6900.11
20	Uttarakhand	525.26	181.25	18.49	725.00
21	West Bengal	2926.24	1009.74	103.00	4038.98
	Sub - Total	<b>46587.25</b>	<b>16075.67</b>	<b>1639.75</b>	<b>64302.65</b>
	<b>MH 3602 (UTs with Legislature)</b>				
22	Delhi	1677.31	578.78	59.04	2315.13
23	Puducherry	288.95	99.70	10.17	398.82
	Sub - Total	<b>1966.26</b>	<b>678.48</b>	<b>69.21</b>	<b>2713.95</b>
	<b>MH 3475 (UTs without Legislature)</b>				
24	A&N Islands	17.66	0	0	17.66
25	Chandigarh	289.92	0	0	289.92
26	D & N Haveli	27.49	0	0	27.49
27	Daman & Diu	23.70	0	0	23.7
	Sub - Total	<b>358.77</b>	<b>0</b>	<b>0</b>	<b>358.77</b>
	<b>MH 2552 (NER States)</b>				
28	Arunachal Pradesh	450.52	155.46	15.86	621.84
29	Assam	3550.67	1225.22	124.97	4900.86
30	Manipur	741.10	255.73	26.08	1022.91
31	Meghalaya	408.83	141.07	14.39	564.29
32	Mizoram	1071.26	369.66	37.70	1478.62
33	Nagaland	787.42	271.71	27.71	1086.84
34	Sikkim	224.10	77.32	7.89	309.31
35	Tripura	1399.04	482.76	49.24	1931.04
	Sub - Total	<b>8632.93</b>	<b>2978.92</b>	<b>303.84</b>	<b>11915.71</b>
	<b>Grand Total</b>	<b>57545.21</b>	<b>19733.08</b>	<b>2012.80</b>	<b>79291.08</b>

F.No. 55(5)/PF-II/2011  
Ministry of Finance  
Department of Expenditure  
Plan Finance-II Division

New Delhi, dated 6<sup>th</sup> September, 2016

**Office Memorandum**

**Subject: Guidelines for Flexi-Funds within Centrally Sponsored Schemes.**

Reference is invited to this Department OM of even no. dated 6<sup>th</sup> January, 2014 on the subject mentioned above. It was stipulated that the Central Ministries should provide 10% of their budget under each CSS as a flexi-fund, except for schemes which emanate from a legislation or where the whole or a substantial proportion of the budgetary allocation is flexible.

2. Based on the recommendations of the Sub-Group of Chief Ministers and consultations with stake holders, NITI Aayog has issued instructions for Rationalization of CSS, vide OM No. O-11013/02/2015-CSS & CMC dated 17<sup>th</sup> August, 2016. As per para 6 of the said OM, flexi-funds available in each CSS has been raised from the current level of 10% to 25% for States, and 30% for UTs, of the overall annual allocation under each scheme.

3. These instructions will be applicable for Centrally Sponsored Schemes, except those which emanate from a legislation (e.g. MGNREGA), or, schemes where the whole or a substantial proportion of the budgetary allocation is flexible (e.g. Rashtriya Krishi Vikas Yojna, Border Area Development Program, Shyama Prasad Mukherjee Rurban Mission etc.). The provisions of this Department's OM No.55(5)/PF-II/2011 dated 6<sup>th</sup> January, 2014 *ibid* are substituted as follows:

**Objectives**

4. The flexi-fund component within the Centrally Sponsored Schemes can be used to achieve the following objectives:

- (i) To provide flexibility to States to meet local needs and requirements within the overall objective of any given Scheme at the sub-head level;
- (ii) To pilot innovation to improve efficiency within the overall objective of any given Scheme at the sub-head level;
- (iii) To undertake mitigation/ restoration activities in case of natural calamities, or to satisfy local requirements in areas affected by internal security disturbances.

**Fund Allocation and Approval**

5. States may, if they so desire, set aside 25% of any Centrally Sponsored Scheme (including the central and state share for any given scheme in a financial year) as flexi fund to be spent on any sub-scheme or component or innovation that is in line with the overall aim and objectives of the approved Scheme.

6. The States, who want to avail of the flexi-fund facility, should constitute a State Level Sanctioning Committee (SLSC) on the lines of RKVY to sanction projects or activities under the flexi-fund component. However, participation of the concerned Central Ministry would be mandatory in the SLSC before the flexi-fund facility is invoked under any Centrally Sponsored Scheme.